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LASER MARKING

This invention relates to the forming of readable (not necessarily optically) precision marks, a pattern or  
5 other indicia on metrological and like equipment by means of irradiation of laser light. In particular but not exclusively it relates to the formation of a pattern of marks on an object e.g. graduation marks on a scale to be used for metrological purposes.

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Some major problems encountered when using a beam of laser light for marking a surface or subsurface of a material are: the dimensional control of the laser light with respect to that surface/subsurface e.g. to  
15 maintain accurate spacing of the marks; the correct selection of parameters of the light e.g. beam fluence (energy per unit area) duration of exposure of the surface/subsurface and; the adaptation of the marking process to suit different applications such as the  
20 ability to mark flat surfaces as well as curved surfaces; and the ability to produce patterns of marking having different pitch lengths. As well as these problems heat build-up at the laser exposed area is problematic because this changes the dimensions of  
25 the resultant scale and leads to inaccuracy.

Particularly problematic is the heat build-up in thin metallic metrological scales, like stainless steel which can buckle and become brittle as a result of excessive heat.

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The production of measurement scale using a laser light to mark its surface has been considered previously. In US 4932131 an in-situ scale writing or calibration technique is used. A reference is used to lay-down

marks or correct any deficiencies in the scale. A laser is used to read and write a scale but there is no disclosure of the method for doing this, and no mention of overcoming thermal problems.

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JP 5169286 shows a method of obtaining a marking perpendicular to the direction of travel of a measurement scale which is being marked using a laser. In JP 5169286 there is no discussion of thermal  
10 problems and no mention of the apparatus which controls the firing of the laser or the beam's position relative to the scale.

It is known that there are effectively two different  
15 mechanisms for pulsed laser ablation of materials and that the key factor for determining which mechanism is employed is the pulse length. Essentially, for pulse lengths above approximately 4 picoseconds, the material is melted and then boiled off from the surface with  
20 considerable transfer of thermal energy into the remaining material. For pulse lengths below approximately 4 picoseconds (i.e. ultrashort pulses), the molten stage is omitted with the material either (depending on correct understanding of the mechanism)  
25 being sublimated straight from the solid to the gaseous or ejected from the substrate as minute solid particles. When ultrashort pulses are used, the amount of thermal energy transferred to the material is significantly reduced.

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The inventors of the present application have now found that the use of an ultrashort pulse laser for producing the graduations on a precision metrological scale (i.e. having tolerance of a few  $\mu\text{m}$  per m) is advantageous as

it reduces any allowance that has to be made for thermal effects when positioning one graduation relative to others during the graduation marking process, thus scale accuracy is improved.

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The invention according to a first aspect provides a method of producing precision marks for a metrological scale, employing apparatus including: a scale substrate to be marked at repeated instants by a laser and  
10 thereby forming a metrological scale; a laser operable so as to provide light pulses for forming scale markings at the substrate; a displacement device for causing relative displacement between the substrate and the location at which the light is incident on the  
15 substrate; and a controller for controlling the relative displacement and the laser,

the method comprising the steps, in any suitable order, of:

operating the displacement mechanism so as to  
20 cause relative displacement between the substrate and the light;

using the controller to control the relative displacement and to operate the laser so as to produce light pulses at the substrate;

25 characterised in that:

the laser produces a plurality of ultra-short output pulses of a fluence at the substrate such that the metrological scale marks are formed by laser ablation.

30 The invention according to a further aspect provides apparatus for producing precision marks for a metrological scale comprising: a scale substrate to be marked at repeated instants by a laser and thereby forming a metrological scale; a laser operable so as to

provide light pulses for forming scale markings at the substrate; a displacement device for causing relative displacement between the substrate and the location at which the light is incident on the substrate; and a  
5 controller for controlling the relative displacement and for operating the laser so as to produce light at the substrate, characterised in that the pulses of light produced by the laser are ultra-short pulses of a fluence at the substrate such that the metrological  
10 scale marks are formed by laser ablation.

The present invention according to a further aspect provides a metrological scale comprising a substrate having scale marks thereat formed by pulses of light  
15 from a laser characterised in that the pulses are ultra short ablative pulses.

The invention is further refined by the selection of the correct fluence to ensure the most efficient  
20 removal of material and optimum surface finish of the ablated area. As the fluence is increased above the threshold value ( $F_{th}$ ) for the material, ablation takes place leaving, at low fluence levels, a relatively smooth surface and, as the fluence is increased, so  
25 does the roughness of the surface finish.

It has now been determined that, for ultrashort pulsed laser beam the fluence for optimum rate of material removal is approximately  $e^2$  times the threshold fluence  
30  $F_{th}$  for that material ( $e$  being the mathematical constant for the base of natural logarithms and being equal to about 2.72). This fluence level also gives a surface finish which presents a good optical contrast to an otherwise polished substrate.

So in a preferred feature of the invention the pulse energy is approximately  $e^2 F t h$ .

Further aspects of the invention provide marking  
5 apparatus for repeatedly marking a object comprising: a controller; a laser for providing an output beam of laser light at repeated instants; optical elements for irradiating the object for causing the markings; a displacement device for causing relative displacement  
10 between the object and the beam; a displacement sensor for sensing the relative displacement and for issuing a displacement signal to the controller, and; a reader for determining the distance between two or more markings produced when the object is subjected to the  
15 beam and for issuing a pitch signal to the controller; the controller in use controlling, in response to displacement and pitch signals, the repeated instants at which the laser emits its beam.

20 Thus, in an embodiment of the invention apparatus is provided which moves a blank scale past a laser light source which may have beam manipulation (e.g. beam shaping lens and/or a scanner). The movement of the scale is monitored by a controller and the appropriate  
25 laser firing signals are given by the controller. The controller monitors the pitch of graduation marks produced by the laser by using scale readers disposed downstream of the point at which the marking takes place and any pitch correction required is made by the  
30 controller.

Preferably the invention provides for the repeated instants at which the laser emits its beam to be advanced or retarded, or the manipulation to be

changed, all in response to known apparatus error information accessible by the controller.

Thus, in the said embodiment the controller has access  
5 to a map of known repeatable errors which occur during use of the apparatus and those known errors are compensated by advancing or retarding the time at which the laser is fired and/or changing the manipulation (e.g. the scanner path).

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Other aspects of the invention provide a number of techniques described herein for irradiating an object so as to form a marking of a desired configuration. Preferably such markings form graduation marks on a  
15 measurement scale e.g. an elongate linear scale, a rotary encoder scale or having two dimensionals.

Various features of the invention will now be described with reference to the drawings, wherein:

20 Figure 1 shows manufacturing apparatus according to the invention for producing measurement scale;

Figure 2 shows part of the apparatus shown in Figure 1 in more detail and;

25 Figures 3a to 3e show various techniques for marking the measurement scale shown in the previous figures.

Figure 1 shows a manufacturing apparatus for making markings on a continuous flexible ribbon of material  
30 approximately 10mm wide and 0.3mm thick (e.g. from a reel of the material) fed to the apparatus. In this example the material is polished stainless steel. The apparatus has a frame 5 to which various elements of the apparatus are attached. The ribbon 10 traverses

firstly a tensioning system 50, then it is fed to a marking station 100, and then onto another tensioning system 60 which opposes the first tensioning system 50. Both tensioning systems impart constant tension in the ribbon as it moves through the marking station  
5 irrespective of the speed of the ribbon.

In each tensioning system a counterweight 55,65 provides an upward force to a moveable roller 51,61 via  
10 a pivot 52,62.

A feed motor for driving feed wheel 53,63 increases or decreases in rotational speed in response to the lowering or raising of the weight 55,65. A  
15 potentiometer 54,64 controls the speed of the motor and is connected to the pivot 52,62 also, such that the lowering and raising of the wheel changes the resistance of the potentiometer. If the speed of the feed roller is too slow the roller 51, 61 will fall and  
20 the potentiometer resistance will decrease. In so doing the lowering of the resistance will cause the feed motor to increase the speed of the feed wheel 53, 63 and vice versa.

25 The ribbon's displacement is governed by the marking station 100 which is illustrated in more detail in Figure 2.

In Figure 2 two pinch rollers 20 and 22 are used to  
30 feed the ribbon (which has constant tension through the station 100. Pinch roller 20 is driven at an approximately constant rate but no speed governing need be used other than a controllable voltage supply. Pinch roller 22 has two rotary encoder rings 24 affixed

thereto or marked thereon. Two readers 26 read the encoder markings to provide a machine controller (200 Figure 1) with two signals so an average of the two can be used to provide a ribbon displacement value to the controller. This averaged ribbon displacement signal at the machine controller 200 is used, via software, to govern the firing of ribbon marking laser 21.

Additionally a system of two or more scale readers, in this instance two readers 23a and 23b, are used to read the scale that is being produced by the laser 21. The readers 23 are set at a pre-determined distance L apart and so any errors in the pitch of the markings can be determined and adjustment via software of the laser firing rate can be made if appropriate. So even if the temperature at the laser irradiated area increases slightly, the temperature at the readers will remain constant and then any slight heating by the laser light can be compensated for by increasing the scale pitch at the laser irradiated area.

So, the laser can produce markings when desired and to a corrected pitch.

The scale produced by the apparatus described above will be very accurate. However, its accuracy may be further improved by removing repeated errors which arise through machine imperfections like: slightly inaccurate markings on the rotary encoder rings 24; run-out of these rings; eccentricity of either pinch roller 22 or 20; or persistent inaccuracies in the movements of the scanner 25 used to control the position of the laser on the scale 10.



If several samples of scale are produced each having several cycles of the pinch roller rotation, and the patterns of the scale on the samples are measured using a highly accurate linear measurement device (eg an  
5 interferometric measurement device) then, using mathematical analysis, the repeated deviations in the scale can be found. It is possible then to provide an error map for the scale producing apparatus which can be stored for use by the machine controller 200 to  
10 correct the laser firing and/or scanner movements so as to eliminate those repeated errors.

During such an error mapping procedure it is important to ascertain the position of the pinch rollers in  
15 relation to the sample scale so that the error correction (advancement or retardation of the fire signal) can occur at the correct moment in time. To this end an index mark 29 is placed on each roller 20/22 and the positions of the rollers at the start of  
20 production are recorded, eg by producing a corresponding mark on the scale.

The error map stored in the machine controller 200 can be accessed during scale production thereafter and  
25 could be updated at intervals by repeating the procedure outlined above so as to maintain the enhanced accuracy of the apparatus.

The above method describes exemplary techniques and  
30 apparatus for positioning measurement scale relative to a laser. The following description details examples the laser parameters used and techniques for marking a scale but these concepts have application to other products.

The laser used for marking is a titanium-sapphire laser which is ideal for firing ultrashort high energy pulses of light. For pulse lengths below approximately 4 picoseconds (i.e. ultrashort pulses), ablation of material subjected to a pulse takes place provided that the beam energy (F) referred to as "fluence" is above a threshold (Fth). The amount of thermal energy transferred to the material is significantly less than that transferred when using longer pulses.

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It has been found when the fluence is increased above the threshold value (Fth) for the material, ablation takes place leaving, at low fluence levels, a relatively smooth surface and, as the fluence is increased, so does the roughness of the surface finish. Also it has been found that the most efficient removal of material and optimum surface finish of the ablated area is obtained when the fluence is approximately  $e^2$  times the threshold fluence Fth for that material. Further, reasonably good results are obtained when the range 4 to 12 times the threshold fluence is used. This fluence range also gives a surface finish which presents a good optical contrast to an otherwise polished substrate.

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Thus, in the production of the stainless steel scale illustrated in Figures 1&2, a titanium sapphire laser is used to fire ultra-short laser pulses of about 100 femtoseconds at a repetition rate of about 5 kHz. An output beam from the laser is focused to a fluence in the region of 12-16 kJ/m<sup>2</sup>. The exact parameters depend on the material to be marked. The depth of ablation on the scale surface will dependent also on the

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characteristics of the material and the fluence of the beam, but typically will be in the order of a few hundred nanometres ( $\text{nm} = \text{m} \times 10^{-9}$ ). If a continuous substrate like stainless-steel is used as a scale then  
5 a few pulses of laser light will be required in order to generate the depth of marking required to enable a scale reader to differentiate between a marked and an unmarked surface. It is possible also that the surface of the scale may be a film, eg a chromium layer on,  
10 say, a glass substrate, and the depth of ablation may be that which is sufficient to substantially remove the film. If a phased light interference pattern is required then the depth of pattern required will depend on the wavelength of light used during use of the  
15 grating.

Where short pulse ablation is used the technique imparts little thermal energy to the scale. This means that no major compensation has to be made to the  
20 dimensions of the markings because there is very little thermal expansion. Also heat distortion of the scale does not take place.

Depending on the power of the laser used and (if  
25 required) the movement of the laser beam relative to the scale, various marking techniques can be used. For a given pulse energy, the desired fluence is selected by varying the area over which the laser beam is focused. The relationship between that area and the  
30 desired line width dictates which of these techniques is applicable. The following paragraphs use scale of  $40\mu\text{m}$  pitch  $P$  (i.e.  $20\mu\text{m}$  line width and a  $20\mu\text{m}$  space) and  $6\text{mm}$  wide as an example in figs 3.

The output of the beam will require some manipulation. If the laser had sufficient pulse energy to give the correct fluence over the entire 20 $\mu$ m by 6mm line, the simplest way to mark the scale would be to move the scale to a position where it is to be marked, stop movement, fire the laser then move the scale to the next position to be marked. The laser beam output might be flattened and elongated (see below) to produce a thin line (usually an ellipse) and therefore would produce a corresponding line pattern without the need to move the beam across the width of the scale (in the y direction in Fig 3).

If the pulse energy was insufficient to give the correct fluence over the entire 6mm line length, Beam 27 might be shaped e.g. to form a round spot or ellipse which is shorter than the width of the scale markings required. In which case the beam can be moved (scanned) across the scale (in the y direction) whilst the scale is stationary.

Whilst the above techniques give adequate results it is preferred to scan the beam in the directions of x and y (in any combination) using an optical scanner and to keep the scale moving constantly. If the scale is kept moving constantly then the scale can be advanced more quickly and faster production of the patterns produced by the laser can be made.

A number of marking techniques are illustrated in Figures 3a,b,c,d and e.

Figure 3a shows an elliptical irradiated area (called a spot in this application). In practice the spot has

dimensions approximately 20  $\mu\text{m}$  in width  $t$  and 6 mm long  $w$ . The ratio of  $t:w$  is not shown to scale in any of the Figs 3. The spot is not moved in the  $y$  direction but is fired many times at the scale 10 as it is  
5 advanced with the scale 10 so as to keep pace with it as it moves continuously in the direction of arrow T. The spot is caused to fly back to its start position once it has treated the surface of the scale sufficiently. In this example a 1 Watt laser is used  
10 which will need to be fired approximately 30-60 times at the surface of the scale. Thus scale markings 28a of a 40 $\mu\text{m}$  pitch can be produced at a rate of about 50-80 per second, i.e. 30-60 firings at repetitions of 5 kHz and then fly back to the next start position.

15 Alternatively, a lower pulse energy could be focused into a spot 6mm long but less than 20 $\mu\text{m}$  wide. Figure 3b shows an elliptical spot 27b of such a configuration having a length  $w$  of 6mm and a width  $t$  of  $\mu\text{m}$  5m. In this  
20 arrangement the spot is not scanned in the  $y$  direction because it has sufficient width  $w$  to cover the width of the scale required. The scale 10 is moved and the spot 27b is again scanned in the  $X$  direction so as to keep pace with the movement of the scale. When the requisite  
25 treatment has been performed on the area of the surface of the scale irradiated by the spot (the first position) then the spot is moved.

The spot can be moved on to various positions. It can  
30 be moved to a position one 40 $\mu\text{m}$  pitch on from the first position, so that scale markings having non-unity mark/space ratio are created (see below). Alternatively the spot can be moved to a position 5 $\mu\text{m}$  from the first

position (the second position, directly adjacent the first position) and then scanned again to keep pace with the scale movement. When the requisite amount of treatment has been given at the second position a band  
5 of treatment twice the width of the spot (i.e. 10µm wide will be produced. Repeating the treatment at further adjacent positions can produce a scale having markings which are further multiples of the spot width.

10 Yet another alternative is moving the spot a fraction of the spot width, or allowing the spot to fall behind the movement of the scale whilst it is treating the scale, giving give markings which can be non integer multiples of the spot width.

15 The spot can be caused to move in any x and/or y direction and be fired at any time. An advantage of this is that parts of the scale produced can be missed out (as shown) and altered in some way (e.g. markings  
20 which are less deep than other markings). Such markings can be used as reference marks or absolute dimensions on a scale.

Figure 3c shows another method of marking with the same  
25 lower pulse energy. Spot 27c is again focussed at a start position and is scanned in the direction c as scale moves in the direction T. The spot in this instance is elliptical and is approximately 20µm wide and 1.5 mm long. Whilst being scanned the spot  
30 performs the desired treatment at the surface of the scale. In this instance the treatment is a series of overlapping ablations which form a line pattern. The spot is caused to fly back to position S once it has finished its treatment.

The correct fluence can be achieved by splitting the beam into a number of smaller spots instead of one larger one. Another method of marking is shown in Figure 3d this alternative method utilises a row of spots 27d which are fixed in relation to the x and y directions. The scale is moved in the direction of arrow T and the positions at which the spots are aimed are not moved. The firing of the laser occurs once the scale has been stopped when the distance travelled by the scale in direction T is equal to  $nP$  where P is the pitch of the desired pattern and n is an integer value used to space the spots 27d apart. The firing at each successive interval when the scale has been displaced by distance  $nP$  comprises a number of ablations in order to obtain the correct depth of treatment.

In the method illustrated in Figure 3e a number of slightly elliptical spots (they may circular) are scanned in the directions e (which has just y or x&y components depending on spot configuration) as the scale advances in the direction of arrow T. A series of laser firings will be required and an overlapping pattern of spots will result in a straight line pattern 28e being produced. The multiple spots used in the methods illustrated in Figure 3d and 3c are produced by optically splitting the output beam of the laser.

In each of the methods illustrated in Figures 3 selective advance or retardation of the firing of the laser can be used, controlled by controller 200, to correct any detected errors in the pitch of the patterns 28 and/or to correct any known machine errors resulting from the error mapping described above. The elliptical spots shown are formed by passing the output

beam of the laser either a cylindrical lens or a system of spherical and/or cylindrical lenses.

The methods illustrated in Figures 3 and the laser  
5 parameters described may be applied to the production of other flat ribbon measurement scale. Also any component may be treated in the manner described and illustrated above eg short lengths of round or flat bar, the actual components of the machines that require  
10 relative displacement to be measurement, like linear motor shafts, ball screw shafts and other forms of leadscrew, beds of machine tools, relatively rotatable parts like rotary encoders and other devices that have relatively moveable parts.

15 In such instances the part to be marked could be moved relative to the laser or vice versa, and that relative movement will be monitored by a reference measurement device eg the rotating pinch rollers 20, 22, an  
20 accurate linear encoder, or an interferometric measurement device.

Where non-planar surfaces of parts require marking then it is possible for that part to be rotated whilst it is  
25 being marked. However, only relatively small diameter parts (less than 25 mm in diameter) would need to be rotated. Larger diameter parts will have sufficient flatness and the focal depth of the laser beam will be sufficient so that such parts could be treated like the  
30 flat ribbon mentioned above.

The invention is particularly suited to the production of thin ribbon e.g. 6mm or less in thickness and of coilable nature, but is not limited to such material.



It has been found that conventional laser treatment of such ribbon is unsatisfactory when attempting to produce accurate metrological scale of such a thickness. Such known techniques are extremely  
5 difficult when using metallic ribbon of a thickness less than 6mm because unacceptable thermal expansion occurs, and are almost impossible when using ribbon of 1mm or less in thickness because thermal distortion occurs. Thus the invention is advantageous when  
10 producing metallic metrological scale having a thickness of less than 6mm e.g. ribbon, sheet 2D grid encoders or rotary encoders, and is particularly advantageous when producing metallic scales of 6mm or less.  
15 This invention is most advantageous when producing metallic metrological scale having a thickness of less than 1mm. By experimentation it has been found that exceeding a bulk temperature rise in the material of  
20 the scale of 6°C has a significant adverse effect on accuracy of the scale. So the parameters of pulse duration, speed of relative travel between the laser ablation area and the scale, and the scale cross sectional area of the scale are all chosen to keep the  
25 temporary bulk temperature rise at the ablation area to less than about 6°C.

This low rise in temperature and ablative technique result in thermal expansion uncertainties typically  
30 below 3 parts per million and usually below 1 part per million. Thus accuracy of the scale has an uncertainty of better than 3 parts per million.

Ablation according to the invention, also provides

excellent optical contrast with a reflectivity reduction at the ablated area. A 3 times reduction or greater is possible.